

# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference M-1426	<b>FOR FURTHER ACTION</b>	See item 4 below
International application No. PCT/JP2004/014421	International filing date ( <i>day/month/year</i> ) 24 September 2004 (24.09.2004)	Priority date ( <i>day/month/year</i> ) 26 December 2003 (26.12.2003)
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237		
Applicant OHMI, Tadahiro		

1. This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).
2. This REPORT consists of a total of 5 sheets, including this cover sheet.  
  
In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.

3. This report contains indications relating to the following items:

- |                                     |              |   |
|-------------------------------------|--------------|---|
| <input checked="" type="checkbox"/> | Box No. I    | Basis of the report   |
| <input type="checkbox"/>            | Box No. II   | Priority  |
| <input type="checkbox"/>            | Box No. III  | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  |
| <input type="checkbox"/>            | Box No. IV   | Lack of unity of invention  |
| <input checked="" type="checkbox"/> | Box No. V    | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/>            | Box No. VI   | Certain documents cited   |
| <input type="checkbox"/>            | Box No. VII  | Certain defects in the international application  |
| <input type="checkbox"/>            | Box No. VIII | Certain observations on the international application   |

4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis .2).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. +41 22 338 82 70	Date of issuance of this report 27 July 2006 (27.07.2006)  Authorized officer  <div style="text-align: center; font-weight: bold;">Yoshiko Kuwahara</div> e-mail: pt07@wipo.int
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# PATENT COOPERATION TREATY

TRANSLATION

From the  
INTERNATIONAL SEARCHING AUTHORITY

PCT

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 43bis.1)

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

**M-1426**

**FOR FURTHER ACTION**

See paragraph 2 below

International application No.

**PCT/JP2004/014421**

International filing date (day/month/year)

**24.09.2004**

Priority date (day/month/year)

**26.12.2003**

International Patent Classification (IPC) or both national classification and IPC

Applicant

**OHMI, Tadahiro**

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA/IP

Authorized officer

Facsimile No.

Telephone No.

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/JP2004/014421

Box No. I

Basis of this opinion

1. With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐

This opinion has been established on the basis of a translation from the original language into the following language

\_\_\_\_\_, which is the language of a translation furnished for the purposes of international search (under Rule 12.3 and 23.1(b)).

2. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:

a. type of material

☐

a sequence listing

☐

table(s) related to the sequence listing

b. format of material

☐

in written format

☐

in computer readable form

c. time of filing/furnishing

☐

contained in the international application as filed.

☐

filed together with the international application in computer readable form.

☐

furnished subsequently to this Authority for the purposes of search.

3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

4. Additional comments:

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/JP2004/014421

<b>Box No. V</b>	<b>Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</b>											
<p><b>1. Statement</b></p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; vertical-align: top;"> <p>Novelty (N)</p> </td> <td style="width: 40%; vertical-align: top;"> <p>Claims _____ YES</p> <p>Claims <u>1-31</u> _____ NO</p> </td> <td style="width: 30%;"></td> </tr> <tr> <td style="vertical-align: top;"> <p>Inventive step (IS)</p> </td> <td style="vertical-align: top;"> <p>Claims _____ YES</p> <p>Claims <u>1-31</u> _____ NO</p> </td> <td></td> </tr> <tr> <td style="vertical-align: top;"> <p>Industrial applicability (IA)</p> </td> <td style="vertical-align: top;"> <p>Claims <u>1-31</u> _____ YES</p> <p>Claims _____ NO</p> </td> <td></td> </tr> </table>				<p>Novelty (N)</p>	<p>Claims _____ YES</p> <p>Claims <u>1-31</u> _____ NO</p>		<p>Inventive step (IS)</p>	<p>Claims _____ YES</p> <p>Claims <u>1-31</u> _____ NO</p>		<p>Industrial applicability (IA)</p>	<p>Claims <u>1-31</u> _____ YES</p> <p>Claims _____ NO</p>	
<p>Novelty (N)</p>	<p>Claims _____ YES</p> <p>Claims <u>1-31</u> _____ NO</p>											
<p>Inventive step (IS)</p>	<p>Claims _____ YES</p> <p>Claims <u>1-31</u> _____ NO</p>											
<p>Industrial applicability (IA)</p>	<p>Claims <u>1-31</u> _____ YES</p> <p>Claims _____ NO</p>											
<p><b>2. Citations and explanations:</b></p> <p>Documents cited in the ISR</p> <p>Document 1: JP 2002-512445 A (Applied Materials, Inc.)</p> <p>Document 2: JP 11-256370 A (Mitsubishi Materials Corp.)</p> <p>Document 3: JP 2000-306886 A (Hitachi Chemical Co, Ltd.)</p> <p>Document 4: JP 10-050678 A (Ibiden Co., Ltd.)</p> <p>Document 5: WO 2000/074127 A1 (Tadahiro OMI)</p> <p>Claims 1-9, 15, 16, 23-30</p> <p>Since paragraph 0024 and figure 4b in document 1 describe a shower plate whose total area of discharge holes per unit area at the center portion is different from that at the peripheral portion, these claims do not appear to be novel or to involve an inventive step.</p> <p>Claims 9-16, 28-30</p> <p>Since paragraphs 0011-0012 and figure 2 in document 2 describe hole diameter varying from the side where the gas enters the hole to the side where it is discharged, these claims do not appear to be novel or to involve an inventive step.</p> <p>Claims 9, 15-19, 28-30</p> <p>Since paragraphs 0002-0036 and figures 1-4 in document 3 describe a shower plate at least whose surface on the side from which gas flows out is not flat, these claims do not appear to be novel or to involve an inventive step.</p> <p>Claims 9, 20, 21, 28-30</p> <p>Since paragraph 0025 and figure 4 in document 4 describe a shower plate where the center axis of the portion on the side from which gas flows out is inclined to the normal line of the surface to face the object to be treated, these claims do not appear to be novel or to involve an inventive step.</p>												

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

International application No.

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Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box V.2

Claims 9, 15, 16, 22, 28-31

Since page 6, line 1 to page 29, line 10 and figure 1 of document 5 describe a shower plate wherein a means for guiding gas from outside the system to the surface of the inflow side is provided at the peripheral portion, these claims do not appear to be novel or to involve an inventive step.